		GRIEVANCE & ARBITRATION PROCEDURE [AMENDED TBA]
11.1	Policy.	<u>.</u> <u>-</u>
	(a)	<u>/Informal Resolution.</u> The parties agree that all problems should be resolve whenever possible, before the filing of a grievance but within the time limits for fil grievances stated elsewhere in this Article, and encourage open communication between administrators and employees so that resorting to the formal grievance procedure will not normally be necessary. The parties further encourage the informal grievance whenever possible. At each step in the grievance procedure participants are encouraged to pursue appropriate modes of conflict resolution including the use of mediation. The purpose of this Article is to promote a prorund efficient procedure for the investigation and resolution of grievances. The procedures hereinafter set forth <u>willshall</u> be the sole and exclusive method resolving the grievances of employees as defined in this Article.
	<u>(b)</u>	Resort to Other Procedures. Except as noted below, if prior to filing a grievance while the grievance proceeding is in progress, a faculty member requests, in writing resolution of the matter in any other forum, whether administrative or judicial, University Administration will have no obligation to entertain or proceed further with the matter pursuant to this grievance procedure. As an exception to this provision grievant may file an EEOC charge while the grievance is in progress when such file becomes necessary to meet federal filing deadlines pursuant to 42 U.S.C. § 20000 seq.
	<u>(c)</u>	Reprisal. No reprisal of any kind will be made by the Board, the University, or the U against any grievant, any witness, any UFF representative, any Univer representative, or any other participant in the grievance procedure for su participation.
	<u>(d)</u>	Reclassifications. A faculty member who is reclassified to an out-of-unit classificat will retain the right to file a grievance consistent with the provisions of this Article any act or omission that gave rise to a grievance while the faculty member was in-u
For th	e Univer	rsity For the UFF
	nder Land	dback Myles Kim

1	11.2 <u>Definitions as</u>	<u>d Forms</u> . As used in this Article:
2	(a) Defin	tions.
3	<u>1.</u>	_The term "grievance" means:
4 5 6 7 8 9 10		a. Ashall mean a dispute filed on a form referenced in section 11.2(d) concerning the interpretation or application of a specific term or provision of this Agreement, subject to those exclusions appearing in other Articles of this Agreement. The grievance must specify the act that allegedly violates this Agreement, including the name(s) of any individual(s) which committed the act, the term of this Agreement that has allegedly been violated, and propose a remedy.
11		b. The grievance must specify:
12 13		(i) the act that allegedly violates this Agreement, including the name(s) of any individual(s) who committed the act;
14 15		(ii) the term(s) of this Agreement that has allegedly been violated, and;
16		(iii) propose an appropriate and specific remedy.
17	<u>2.</u>	_The term "grievant" means:
18 19 20		a. Anshall mean an employee or group of employees who has/have filed a grievance in a dispute over a provision of this Agreement which confers rights upon the employee(s).
21 22 23 24		b. The In accordance with state law, the UFF may file a chapter, where it is entitled by law to file a grievance on behalf of a person, a group of people, or the chapter itself, in a dispute over a provision of this Agreement which confers rights upon the employee(s).
25 26 27 28		————————————————————————————————————
29 30		(i) —A chapter grievance shall identify the specific remedy sought for the members.
	For the University	For the UFF
	Alexander Landback Chief Negotiator	Myles Kim Chief Negotiator
	Date	

For th	e Univer	sity	For the UFF
			 a. All grievance forms willshall be dated and assigned a case an identifying number when the form is received by the Office of the General Counsel. b. The date of receipt will be determined by the date in which when the grievance is received by the Office of the General Counsel and assigned an identifying case number.
		3.	-All grievance forms must be electronically filed via email with the Office of the General Counsel (ogc@floridapoly.edu).
		<u></u>	submitted in writing on the form shown in Appendix "D" (Notice of Arbitration). All pertinent information submitted with the Grievance Form, as well as the Grievance Hearing decision, must be included as an attachment to the Notice of Arbitration form. The Notice of Arbitration form must be appropriate form attached to this Agreement as Appendix C, and shall be signed by the grievant and a UFF representative.
		<u>1.</u> 2.	Grievance FormForms. Each grievance must be typed and submitted in writing on the form attached to this Agreement as Appendix "C", with all pertinent information explaining the disagreement or controversy, identifying the provision(s) at issue. The grievant's signature, confirming the grievant's intent to proceed with the grievance, shall be provided prior to the grievance hearing. Arbitration Form. Each, request for review, and notice of arbitration must be
}	<u>(b)</u>	Forms.	
		3.	The parties may agree to consolidate grievances of a similar nature to expedite the review process. However, the parties are not obligated to any such consolidation.
			b.c. A chapter grievance or a grievance of a decision made by the President or Provost may be initiated at Step 2. The parties may agree to consolidate grievances of a similar nature to expedite the review

Alexander Landback
Chief Negotiator

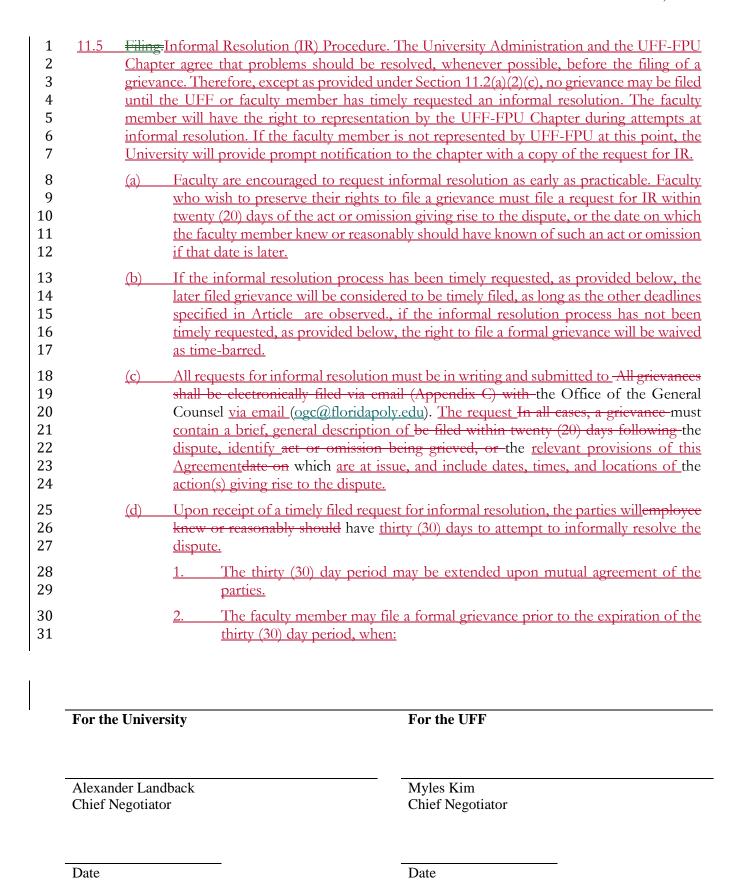
Myles Kim
Chief Negotiator

Date

Date

1 2 3 4 5 6 7		2. 4.	.—If there is difficulty in meeting any time limit, the UFF representative may sign such documents for the grievant; however, the grievant's signature must be provided prior to the Grievance Hearing or the selection of an Arbitrator under Section 11.8(b).shall be provided prior to the Step 1 meeting or Step 2 review if filed directly at Step 2. All grievance forms shall be filed by email, to the General Counsel's office email address (oge@floridapoly.edu), and must contain the identifying grievance number once assigned.
8 9 10 11 12 13		<u>5.</u>	Time Limits. All time limits contained in this Article may be extended by agreement of the parties, except that the time limits for the initial filing of a grievance may be extended only by the University. Upon failure of the University to provide a decision within the time limits provided in this Article or as extended by agreement, the grievant or the UFF, where appropriate, may appeal proceed to the next step.
14 15 16 17		6.	Upon the failure of the grievant or the UFF to advance a grievance within the time limits provided in this Article or as extended by agreement, the grievance will be administratively closed pursuant to the decision at the prior step or deemed withdrawn with prejudice.
18 19			of. In all grievances, except those challenging disciplinary actions, the grievant of proof shall be on the employee.
20 21 22 23 24 25 26 27	(a	emplo repres Unive any it Agree at all t	sentation. UFF possessesshall have the exclusive right to represent any in-unit yee in a grievance unless an employee elects self-representation or to be ented by legal counsel. If an employee elects not to be represented by UFF, the esity shall promptly inform UFF in writing of the grievance. No resolution of dividually processed grievance shall be inconsistent with the terms of this ment, and for this purpose, UFF shall have the right to have an observer present neetings called to discuss such grievance and shall be sent copies of all decisions same time as they are sent to the other parties.
	For the Un	niversity	For the UFF
	Alexander Chief Nego		Myles Kim Chief Negotiator

1 2 3 4 5 6 7 8 9 110 111 112			1. UFF mustGrievance Representatives. UFF shall annually furnish to the University a list of all persons authorized to act as grievance representatives and willshall update the list as needed. The UFF grievance representative has shall have the responsibility to meet all classes, office hours, and other duties and responsibilities incidental to the assigned workload. Such representative has shall have the right during times outside of those hours scheduled for these activities to investigate, consult, and prepare grievance presentations and attend grievance hearings and meetings. Should any hearings or meetings with the Vice Provost of Academic Affairs, Provost, or their designees necessitate rescheduling of assigned duties, the representative may, with the approval of the appropriate administrator, arrange for the rescheduling of such duties or their coverage by colleagues. Such approval willshall not be unreasonably withheld.
14 15 16 17 18		<u>(b)</u>	If an employee elects not to be represented by UFF, the University will promptly inform UFF in writing of the grievance. No resolution of any individually processed grievance will be inconsistent with the terms of this Agreement, and for this purpose, UFF will have the right to have an observer present at all meetings called to discuss such grievance and will be sent copies of all decisions at the same time as they are sent to the other parties.
20	11.4	Appea	<u>urances</u> .
21 22 23		<u>(a)</u>	The grievant must attend the Grievance Hearing. If the grievant does not attend and has no legitimate excuse for such absence, the grievance will be deemed to be withdrawn.
24 25 26 27		(a)(b)	When an employee participates during working hours in an arbitration proceeding as the grievant, or in an official capacity during a grievance meeting between the grievant or representative and the University, that employee's compensation willshall neither be reduced nor increased for time spent in those activities.
28 29 30 31 32		(b)(c)	Prior to participation in any such proceedings, conferences, or meetings, the employee willshall make arrangements acceptable to the appropriate supervisor for the performance of the employee's duties. Approval of such arrangements willshall not be unreasonably withheld. Time spent in such activities outside regular working hours willshall not be counted as time worked.
33	11.1	<u>Forma</u>	al Grievance Procedure.
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e grievant to achieve an
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pe without precedent or
nirty (30) day IR period, ce consistent with the
om the date <u>onin</u> which received by, the <u>Office</u>
extended by agreement of a grievance may be ity to provide a decision agreement, the grievant Upon the failure of the within the time limits rance shall be deemed to awn.

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1	(b) <u>l</u>	Postponement. The grievant may, in the timely-filed written grievance at Step 1,
2	f	request the postponement of any action in processing the grievance formally for a
3		period of up to thirty (30) days, during which period efforts to resolve the grievance
4		nformally shall be made. The initial request shall be granted. Upon the grievant's
5		written request, additional extensions may be granted unless to do so would impede
6		resolution of the grievance. Upon request, the Provost or his/her designee shall,
7		luring the postponement period(s), arrange an informal meeting between the
8		appropriate administrator and the grievant. The grievant shall have the right to
9		representation by the UFF during attempts at informal resolution of the grievance.
10		The grievant may, at any time, terminate the postponement period by giving written
11		notice to the Provost or his/her designee that the grievant wishes to proceed with the
12		Step 1 meeting. If the postponement period, or any mutually agreed to extension
13		thereof, expires without such written notice, the grievance shall be deemed informally
14		resolved to the grievant's satisfaction and need not be processed further.
15		9.1. Step 1.
	-	
16		b. Meeting. The filing of a grievance constitutes a waiver of any rights to
17		judicial review of agency action pursuant to Chapter 120, Florida
18		Statutes, and to the review of such actions under other University
19		procedures that may otherwise be available to address such matters.
20	<u>2</u>	2. The grievance may be withdrawn at any time by the grievant or by the UFF
21		President.
22	<u>(b)</u>	Amended Step 2 Filing.
23	1	1. The grievant may amend the Step 2 grievance one (1) time prior to the Step 2
24	=	meeting, no later than three (3) business days prior to the Step 2 meeting, so
25		long as the factual basis of the complaint is not materially altered.
26		2. Only those acts or omissions and sections of the Agreement identified in
27 27	<u>2</u>	writing at the initial Step 2 filing, or as amended prior to the Step 2 meeting,
28		may be considered at subsequent steps.
	(-) I	* *
29 30	* *	Request for The Vice Provost of Academic Affairs or his/her representative
30	1	Documents.
31	<u>1</u>	1. Upon written request, the grievant and the grievant's representative will be
32		provided access to all documents relevant to the grievance.
	For the Universit	ty For the UFF
	Alexander Landba	ack Myles Kim
	Chief Negotiator	Chief Negotiator
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	Date	Date

Alexander	Landback	Myles Kim
For the U	niversity	For the UFF
		documents presented by the grievant shall be attached to the decision, togeth with a list of these documents.
	1.	Documents: All documents referred to in the decision and any addition
		decision by the end of the 10th day following the conclusion of the Step meeting. A copy of the decision shall be sent to the grievant and to the UF grievance representative if the grievant elected self-representation representation by legal counsel.
		to extend the period for issuing the Step 1 decision, the grievant may proceed to Step 2 if the grievant's Step 1 representative has not received the written
		11.13. determined by the postmark or email. In the absence of an agreement
		(30) Seven days will shall be calculated determined by a receipt executed by the office receiving the grievance, or by the date of mailing as defined in Section
		the decisiontherefore, to grievant's Step 21 representative within thir (30seven (7) days following the conclusion of the Step 2 meeting. Thir
	3.	<u>Decision</u> . The Vice Provost of Academic Affairs or <u>University Representative</u> <u>willhis/her representative shall</u> issue a written decision, stating the reasons <u>for the representative shall</u> issue a written decision, stating the reasons <u>for the representative shall</u> issue a written decision, stating the reasons <u>for the representative shall</u> issue a written decision, stating the reasons <u>for the representative shall</u> issue a written decision, stating the reasons <u>for the representative shall</u> issue a written decision.
	2	willhis/her representative, shall discuss the grievance. Design The Vice Proyect of Academic Affairs on University Pergeometric
		11.4), and the Vice-Provost of Academic Affairs or University Representative
		evidence in support of the grievance, and the grievant and/or the UF representative or the grievant's legal counsel (if selected pursuant to Sectio
	2.	written notice that the grievant wishes to proceed with a Step 1 meeting. At the Step 21 meeting, the grievant willshall have the right to present an
		representative <u>willshall</u> meet <u>no later than fifteen (15within ten (10)</u> day following (a) receipt of the grievance if no postponement is requested, or (1 receipt of the Step 2 grievance.
	1.	The Provost or University Representative and the grievant and the grievan
<u>(c</u>	d) <u>Meeti</u>	<u>ng.</u>
	<u>J.</u>	Written Authorization is required for the release of any Limited-Acce Records, as defined under Florida Polytechnic University Rule 6C13-6.008.
	2	Step 2 meeting.
		documents will be provided no later than three (3) business days prior to the

1	(d)	Step 2.	
2 3 4 5 6 7 8		1. 2.	Review. If the grievance is not satisfactorily resolved at Step 1, the grievant may file a written request for review (Appendix C) with the Office of the General Counsel within ten (10) days following receipt of the Step 1 decision by grievant's Step 1 representative. Ten (10) days shall be determined by the sent date indicated on the email to. Meeting. The Provost or designee and the grievant and the grievant's representative shall meet no later than seven (7) days following receipt of written notice of request for a Step 2 review. At the Step 2 meeting, the
10 11 12 13			grievant shall have the right to present any evidence in support of the grievance, and the grievant and/or the UFF representative or the grievant's legal counsel (if selected pursuant to section 11.4), and the Provost or designee shall discuss the grievance.
14 15 16 17 18 19 20 21 22 23 24 25		4.	Decision. The Provost or designee shall issue a written decision, stating the reasons for the decision to grievant's Step 2 representative within five (5) days following the conclusion of the review meeting. Five days shall be determined by a receipt executed by the office receiving the grievance, or by the date of mailing as determined by the postmark or email. In the absence of an agreement to extend the period for issuing the Step 2 decision, UFF may proceed to Step 3 if the grievant's Step 2 representative has not received the written decision by the end of the 35th10th day following the conclusion of the Step 2 meeting. Step 2 decisions in grievances not involving alleged procedural violations are final and binding. A copy of the decision willshall be sent to the grievant and to UFF if the grievant elected self-representation or representation by legal counsel.
26	11.5 11.7	Formal	GrievanceStep 3 Arbitration Procedure (Step 3)
27 28 29	<u>(a)</u>	resolve	Filing. If a grievance alleging a procedural violation has not been satisfactorily d at Step 2, the UFF may, upon the request of the grievant, proceed to ion by filing a written notice of the intent to do so (Appendix D).
	For the Univers	sity	For the UFF
	Alexander Land Chief Negotiator		Myles Kim Chief Negotiator

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For the Uni	versity	For the UFF
(c)	Autho	prity of the Arbitrator.
	2.	Arbitration Panel of seven (7) members. Each party willshall submit six arbitrators to create a selection list, from which the parties may mutually ag to, or alternatively strike names until they select the Arbitration Panel. I right of the first choice to strike willshall be determined by a coinflip. The parties willshall be operative until a successor Agreement is ratifi. The parties willshall either mutually agree upon the arbitrator charged whearing any grievance, or select the arbitrator from the Arbitration Panel as forth below. A mutually-agreed-upon arbitrator does not need to be a member of the Arbitration Panel. In the event the parties cannot mutually agree upon arbitrator, and no later than fourteen (14) days after receipt of a notice intent to arbitrate, the parties willshall confer for the purpose of selecting arbitrator from the panel. The parties willshall alternatively strike names from the list until one name remains. The right of the first choice to strike willshall be determined by a coinflip.
	1.	Representatives of the University and UFF <u>willshall</u> meet within ninety (9 days after the execution of this Agreement for the purpose of selecting
(b)	Select	ion of Arbitrator.
	<u>1.3.</u>	withdrawn at any time by the grievant or by the UFF President at any point during Step 3. The parties mustshall stipulate to the issue(s) prior to the arbitration. In the event a stipulation is not reached, the parties mustshapproceed to a hearing on arbitrability pursuant to Section 11.8(d).11.7(f)(4).
	<u>2.</u>	Only those acts or omissions and sections of the Agreement identified at t initial within ten (10) days after receipt of the Step 2 decision by grievant's St 2 representative, and must be signed by the grievant and a UFF representative. Ten (10) days shall be determined by the date of mailing as indicated on the considered by the Arbitrator.
	-	Notice of intent to proceed to arbitration must be submitted to filed at to Office of the General Counsel consistent with the procedures defined Section 11.2(b). The fifteen (15) days will be calculated as defined in Section 11.13.

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	(e)(f)	Effect of Decision. The arbitrator's decision willshall be final and binding upon Board, the University, the UFF, and the grievant, provided that either party may at to an appropriate court of law a decision that was rendered by the arbitrator a outside of or beyond the arbitrator's jurisdiction, pursuant to this Agreement an Florida Arbitration Code as defined by law.
		1.4Except as modified by the provisions of this Agreement, arbitration roceedings willshall be conducted in accordance with the current I Arbitration Rules of the American Arbitration Association.
		3. The decision mustshall be in writing and shall set forth findings of reasoning, and conclusions on the issues submitted.
		2. The arbitrator willshall issue the decision within forty-five (45) days or close of the hearing or the submission of briefs, whichever is later, us additional time is agreed to by the parties.
		1. —The arbitrator willshall hold the hearing at the University, unless othe agreed by the parties. The hearing mustshall commence within sixty (60) of the arbitrator's acceptance of selection, or as soon thereafter practicable, and t
	<u>(e)</u>	Conduct of Hearing.
	(d)	Arbitrability. Issues of arbitrability mustshall be separated from the substantive iss and, whenever possible, determined by means of a hearing conducted by confercall. The arbitrator willshall have ten (10) days from the hearing to render a decon arbitrability. If the issue is judged to be arbitrable, an arbitrator willshall the selected to hear the substantive issue(s) in accordance with the provisions of Sec. 11.7(f)(2).
		2. The arbitrator <u>mustshall</u> not substitute the arbitrator's or another's judg for that of the University in any matter.
		terms or provisions of this Agreement. Arbitrations <u>mustshall</u> be consolely to the application of this Agreement and the issue(s) submitted arbitration. The arbitrator <u>mustshall</u> refrain from issuing any statement opinion or conclusions not essential to the determination of the issubmitted.

Alexander Landback Chief Negotiator

Date

1 2		(f)(g)	<u>Fees and Expenses</u> . The parties <u>willshall</u> equally divide all arbitration fees and expenses, unless the arbitrator rules that the grievance was frivolous, in which case the
3			filing party willshall bear the cost of all fees and expenses associated with the
4			arbitration, including, if awarded, attorney's fees. Fees and expenses charged by an
5			arbitrator for cancelation after the arbitrator's deadline willshall be borne by the party
6			requesting the cancelation. However, if a grievance is resolved, and the cancelation of
7			the arbitration results in any arbitration fees or expenses, such costs will shall be divided
8			equally between the parties. Each party willshall bear the cost of preparing and
9			presenting its own case including payment of expenses and compensation for its own
10			representatives, attorneys, and witnesses. The party desiring a transcript of the
11			arbitration proceedings willshall provide notice to the other party of its intention to
12			have a transcript of the arbitration made prior to the arbitration. The party desiring
13			such transcript <u>willshall</u> be responsible for scheduling a stenotype reporter to record
14 15			the proceedings. The party originally requesting a transcript of the proceedings willshall pay for any appearance fee of the court reporter.
16	11.8		nentation of Remedy. Any formal remedy resulting from a decision at Step 2 or Step 3
17			implemented within fourteen (14) days, unless otherwise provided in the arbitrator's n or by mutual agreement of the parties.
18			
19	11.2	_	and Notification. All documents, except the initial filing, required or permitted to be
20			or filed pursuant to this Article may be transmitted by email, fax, United States mail, or
21 22		-	her recognized delivery service. If any action falls due on a Saturday, Sunday, or sity-recognized holiday, the action will be considered timely if it is accomplished by
23			M. on the following business day.
	11 (11		,
24 25	11.6 11.		Precedent. The resolution of a No complaint informally resolved, or grievance,
25 26			ed at either informally or by decision rendered at Step 1 or 2, will not shall constitute a ent for any purpose unless agreed to in writing by the Board of Trustees or
27			entatives and the UFF acting through its president or representative.
		-	
28	11.3		al. No reprisal of any kind will be made by the Board, the University, or the UFF against
29 30			evant, any witness, any UFF representative, or any other participant in the grievance
			ture for such participation.
31	11.7 11.		Records. All written materials pertinent to a grievance willshall be maintained filed
32		separat	tely from the evaluation file of the grievant or witnesses, except decisions resulting
	For the	Univers	sity For the UFF

Myles Kim Chief Negotiator

1 2		from arbitration or settlement. <u>Unless otherwise exempt under Florida law, grievance-related</u> documents are subject to disclosure as a public record.			
3 4 5 6 7	11.11	Venue. For purposes of venue in any judicial review of an arbitrator's decision issued under this agreement, the parties agree that such petition must be filed in the courts in Polk County, Florida, unless both parties specifically agree otherwise in a particular instance. In an action commenced in Polk County, neither the University nor the UFF will move for a change of venue based upon the Respondent's residence in fact if other than Polk County.			
8	11.12	Processing.			
9 10 11		(a) The filing or pendency of any grievance or arbitration proceedings under this Article will not operate to impede, preclude, or delay the University from taking the action complained of.			
12 13 14 15 16		(b) Reasonable efforts, including the shortening of time limits when practical, will be made to conclude the processing of a grievance prior to the expiration of the grievant's employment, whether by termination or failure to reappoint. An employee with a pending grievance will not continue to be compensated beyond the last date of employment.			
17 18		(c) The University may refuse consideration of a grievance not filed or processed in accordance with this Article.			
19 20	11.13	Computation of Time. The following rules apply in computing time periods specified in this Article.			
21		a) When the period is stated in days or a longer unit of time:			
22 23		1. begin counting from the next day that is not a Saturday, Sunday, or University-recognized holiday (as referred to in FPU-1.008);			
24 25		2. count every day, including intermediate Saturdays, Sundays, and University-recognized holidays; and			
26 27 28		3. include the last day of the period, but if the last day is a Saturday, Sunday, or University-recognized holiday, the period continues to run until the end of the next day that is not a Saturday, Sunday, or University-recognized Holiday.			
	For the	University For the UFF			
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1 2 3 4 5 6 7 8	Holiday, the action will be considered time following business day. (c) When the period is stated in business days 11.811.14 Inactive Grievances. A grievance which has which no action has been taken by the grievant or be deemed withdrawn and or resolved in accordance.	Holiday, the action will be considered timely if it is accomplished by 5:00 P.M. on the following business day. (c) When the period is stated in business days, 11.13(a) does not apply. 311.14 Inactive Grievances. A grievance which has been filed at Step 2 or Step 3 and on which no action has been taken by the grievant or the UFF for forty-five (45) days willshall be deemed withdrawn and or resolved in accordance with the decision issued at the prior				
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